

	Account No. 帳戶號碼
<input type="checkbox"/> Securities Account (Cash) 證券帳戶(現金)	



## Securities Cash Trading

證券現金交易

**Institutional Professional Investor**  
機構專業投資者

Room 601, 6th Floor, On Hong Commercial Building, 145 Hennessy Road, Wanchai, Hong Kong  
香港灣仔軒尼詩道145號安康商業大廈6樓601室

Tel 電話: (852) 3184 0689 / Fax 傳真: (852) 3101 4689  
Web-site 網址: <https://www.zundiao.hk>

## ACCOUNT OPENING INFORMATION FORM - PROFESSIONAL INVESTOR (Institution) 開戶資料表格 - 專業投資者 (機構)

The following information is being provided by the undersigned (the "Corporate Client") for the purpose of opening accounts (the "Account(s)") with Zundiao Securities Limited ("Zundiao"). The Client represents that the information is true, complete and correct and Zundiao is entitled to rely fully on such information and representations for all purposes, unless it receives notice in writing of any change.

下述資料是提供予簽署人(“公司客戶”)於尊迪奧證券有限公司(“尊迪奧”)開立賬戶(“本賬戶”)。客戶茲聲明在本開戶申請表內的資料屬實, 完正及正確, 且除非尊迪奧收到任何變更的書面通知外, 尊迪奧得信賴前述資料及聲明。

### A. Client Information 客戶資料

1) Company Information 公司資料		
a) Name of Company (English / Chinese) 公司名稱 (英文/中文)		
b) Account Name (if different from "Company Name") 賬戶名稱 (如有別於公司名稱)		
c) Business Registration No. in Hong Kong 香港商業登記號碼	d) Date and Country of Incorporation 註冊日期及國家	
e) Certificate of Incorporation No. 註冊證書號碼	f) Nature of Business 業務性質	
Address of Registered Office in Country of Incorporation 在成立國家之註冊地址		
g) Unit 單位 / Floor 層數 / Block 座	h) Building Name 大廈名稱	
i) Street Number and Name 街道名稱及號碼	j) District / Province / City 地區/省/市	
k) Country 國家	l) Postal Code 郵政編號	
Correspondence Address (if different from Registered Office Address) 通訊地址 (如有別於註冊地址)		
m) Unit 單位 / Floor 層數 / Block 座	n) Building Name 大廈名稱	
o) Street Number and Name 街道名稱及號碼	p) District / Province / City 地區/省/市	
q) Country 國家	r) Postal Code 郵政編號	
s) Business Phone No. 辦事處電話	t) Email Address 電郵地址	u) Fax No. 傳真號碼
v) Contact Person 聯絡人		
x) Mobile Phone No. 手機號碼	Y) Email Address 電郵地址	

2) Language & Correspondence: 語言和通信 :	
Select your language 選擇語言 <input type="checkbox"/> 繁體 <input type="checkbox"/> 簡體 <input type="checkbox"/> English	
Communication Method (for Daily / Monthly Statements) 通訊方式 (用作發送日/月結單)	
<input type="checkbox"/> Email 電郵	<input type="checkbox"/> Post 郵寄 (Post to Correspondence Address with fee of \$50 per statement + postage) (郵寄至通訊地址, 每份結單為港幣50元正, 另加郵費)

3) Client Self Declaration 客戶自我聲明	
Is this Client regulated by relevant authorities (e.g. SFC, etc)? 客戶是否受相關的監察機構監管(例如:香港證券及期貨監察委員會等)?	
<input type="checkbox"/> No 不是	<input type="checkbox"/> Yes, the Client is regulated by 是, 監管機構為: _____
Identity of the ultimate beneficial owner(s) of the Account(s) 請確認賬戶之最終受益人身份:	
<input type="checkbox"/> House 自營	<input type="checkbox"/> Client Account 客戶賬戶
Source of Fund 資金來源:	_____

4) Settlement Details 交收資料	
Custodian Bank 保管銀行:	Account Name 賬戶名稱:
_____	_____
Account No 賬戶號碼:	CCASS No. (if applicable) 香港中央結算有限公司號碼 (如適用):
_____	_____

5) Bank Details 銀行資料			
Unless otherwise instructed by Company, all monies payable to Company are to be credited to the bank account you provided. 除非客戶另行通知, 所有客戶應收款項將存入以下由客戶提供的銀行賬戶內。			
a. Bank Name 銀行名稱	Bank Account No. 銀行賬戶	CCY	A/C# 賬戶號碼
_____	_____	_____	_____

6) Authorized Person(s) 獲授權人			
Name (English and Chinese) 姓名 (英文及中文)	HKID No./Passport No and Country of Issue 香港身份證號碼/ 護照號碼及簽發國家	Contact Phone Number 聯絡電話號碼	Specimen Signature 授權簽名式樣
1.			
2.			
3.			
4.			
(If there is insufficient space to complete, please continue on a separate sheet. 如空位不敷應用, 請另加紙填寫。) Please attach a Letter of Authorization and an Information Statement of the Authorized Person for each of the Authorized Person(s) mentioned above. 請就上述每位獲授權人附上授權書及獲授權人資料表。			

7) Identity of Persons Ultimately Responsible for Originating the Instructions in relation to a Transaction 最終負責發出交易指示人士的身份				
Details of the person who is ultimately responsible for originating the instructions in relation to a transaction are as below: 最終負責發出交易指示人士資料如下:				
Name 姓名:	HKID/Passport No. 香港身份證/護照號碼	Address 地址	Occupation 職業	Relationship 關係

**B. Treatment as an “institutional professional investor” (according to the professional investor rules define under paragraph 15.2 of Securities and Futures Ordinance)**

申請視為機構專業投資者(根據證券及期貨條例表一第15.2段的定義)

We are 我們是

- The entities such as exchange companies 交易所公司
  - Intermediaries and similar investment service providers regulated overseas; also their wholly owned subsidiaries, holding companies, and wholly owned subsidiaries of the holding companies  
中介人及在海外受規管的類似投資服務供應商; 以及其全資附屬公司, 控股公司及該等公司的全資附屬公司
  - Authorized financial institutions (“AFIs”) and similar overseas institutions; also, their wholly owned subsidiaries, holding companies, and the wholly owned subsidiaries of the holding companies  
認可財務機構及類似的海外機構; 以及其全資附屬公司, 控股公司及該等公司的全資附屬公司
  - Insurers regulated under the Insurance Companies Ordinance and their overseas counterparts  
受保險業公司條例規管的保險公司及其海外同業
  - Collective investment schemes authorized in Hong Kong and their operators; and their overseas counterparts  
在香港獲認可的集體投資計劃及其經營商; 其海外同業
  - Mandatory Provident Fund schemes and their approved trustee, service providers or investment Managers; and the Occupational Retirement Scheme Ordinance schemes, their administrators and overseas counterparts  
強制性公積金計劃及其信託人, 服務供應者或投資經理; 亦包括職業退休計劃, 其管理及海外同業
  - Governments, central bank and multilateral agencies  
政府, 中央銀行及多邊代理機關
- 

**C. We hereby enclose the following documents: 請提供以下所需文件:**

- The board Resolution authorizing the execution of this Account Opening Information Form and signing of the relevant documents  
董事會決議通過開立賬戶及簽署相關開戶文件
- Copy of HKID / Passport for all authorized individuals  
所有授權人之身份證或護照影印本
- Copy of certificate of registration / license issued by regulatory bodies, or a copy of the Client’s licensed or registration status as shown in public register of the authority form which the client’s license is issued or the registration is accepted  
主管機關發出的牌照影印本
- Copy of register of directors and shareholders  
股東和董事名冊影印本
- Copy of HKID / Passport for all shareholders and directors  
所有股東和董事之身份證或護照影印本

#### D. SIGNATURE AND ACKNOWLEDGEMENT 簽署及確認

We, confirm that we have fully and accurately completed this account opening information form. We agree that we shall be treated as an "institutional professional investor" in connection with the operation of the Account(s). We further agree that we shall, immediately upon request by Zundiao, inform the Hong Kong Regulators of the identity, address, occupation and contact details of the ultimate beneficiary if we affect a transaction otherwise that for our own benefit.

本公司確認所填寫的開戶資料屬完整及正確，並同意關於本賬戶之操作被視為機構專業投資者。若本公司為自己的利益影響交易，本公司同意依尊迪奧指示即時通知香港監管機構最終實益人之身份，住址，職業及聯絡方式。

Name of Company 公司名稱	)	
	)	
Was hereto affixed pursuant to its board resolution a certified true copy of which is attached hereto 已依據其董事會決議在此蓋章, 在此附上已經核證為真實的副本	)	
Name of Authorized Signatory 獲授權簽名人姓名	)	
	)	
	)	Authorized Signature(s) with Company Chop 授權簽名及公司印章
In the presence of 見證人	)	
Name of Witness *見證人姓名*	)	
	)	
Profession/Occupation 專業/職業	)	Signature of Witness 見證人簽署
Name of Company 公司名稱	)	
Company Address 公司地址	)	
	)	
Acknowledged and Accepted by Broker 經紀確認及接受 <b>Zundiao Securities Limited 尊迪奧證券有限公司</b>	)	
Name of Authorized Signatory 獲授權簽名人姓名	)	
	)	
	)	Authorized Signature(s) with Company Chop 授權簽名及公司印章

Dated \_\_\_\_\_ of \_\_\_\_\_ of \_\_\_\_\_  
日期            day (日)            month (月)            year (年)

\*Note 備註: Witness should be a licensed or registered person, an affiliate of a licensed or registered person, a Justice of the Peace, or a professional person such as a branch manager of a bank, certified public accountant, lawyer or notary public.  
見證人應為持牌或註冊人士、持牌或註冊人士的聯繫人士、太平紳士或專業人士，例如銀行分行經理、執業會計師、律師或公證人。