

## Client Declaration Form 客戶聲明表

Zundiao Securities Limited 尊迪奧證券有限公司

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### (A) Identity of Ultimate Beneficial Owner(s) of the Account 賬戶最終受益人身份

Are you the ultimate beneficial owner(s) who stand(s) to gain the commercial or economic benefit of the transaction and / or bear its commercial or economic risk? 閣下是否最終受益人，可賺取交易的商業或經濟收益及 / 或承擔其商業或經濟風險？

No, please specify the details of the ultimate beneficial owner(s) 否，請列明最終受益人士之資料。

Name 姓名 \_\_\_\_\_ Occupation 職業 \_\_\_\_\_

HKID No. / Passport No. and Country of Issue 香港身份證號碼 / 護照號碼及簽發國家 \_\_\_\_\_

Residential Address 住址 \_\_\_\_\_

Relationship with the person who is ultimately beneficial owner(s) 賬戶主要持有人與賬戶最終受益人的關係 \_\_\_\_\_

### (B) Identity of Person(s) Ultimately Responsible for Originating the Instructions in relation to a Transaction 最終負責發出交易指示人士的身份

Are you the person who is ultimately responsible for originating the instructions in relation to a transaction? 閣下是否最終負責發出交易指示的人士？

No, please specify the details of the person who is ultimately responsible for originating the instructions in relation to a transaction.

否，請列明最終負責發出交易指示的人士之資料。

Name 姓名 \_\_\_\_\_ Occupation 職業 \_\_\_\_\_

HKID No. / Passport No. and Country of Issue 香港身份證號碼 / 護照號碼及簽發國家 \_\_\_\_\_

Residential Address 住址 \_\_\_\_\_

Relationship with the person who is ultimately responsible for originating the instructions in relation to a transaction

賬戶主要持有人與最終負責發出交易指示的人士的關係 \_\_\_\_\_

### (C) Are you a director or senior management officer or accredited person of an exchange participant of The Stock Exchange of Hong Kong Limited or Hong Kong Futures Exchange Limited? 閣下是否香港聯合交易所有限公司或香港期貨交易所有限公司參與者的董事、高級管理人員或認可人士？

Yes, please specify the company name, stock code and position 是，請列明該公司名稱、股份代號及職位 \_\_\_\_\_

### (D) Are you a licensed or registered person under the Securities and Futures Ordinance or a registered institution under the Banking Ordinance? 閣下是否證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之持牌代表或註冊人員？

閣下是否證券及期貨條例註冊持牌法團或銀行業條例之註冊機構之持牌代表或註冊人員？

Yes, please specify the Licensed Corporation/Registered Institution Name and CE No. 是，請列明持牌法團/註冊機構名稱及中央編號 \_\_\_\_\_

(Please attach a consent letter for account opening from your accredited licensed corporation or registered institution 請附上所屬持牌法團或註冊機構發出的開戶同意書)

### (E) Are you a relative of any director or employee or representative of Zundiao Securities Limited? 閣下是否尊迪奧證券有限公司任何董事、僱員或代表的親屬？

閣下是否尊迪奧證券有限公司任何董事、僱員或代表的親屬？

Yes, please specify the name of the director, employee or representative and relationship with him / her.

是，請列明該董事、僱員或代表的名稱及雙方關係 \_\_\_\_\_

### (F) Are you a citizen or resident alien of the United States? 閣下是否美國公民(持有美國護照)或美國居民(持有美國綠卡)。

Yes, please fill in Form W-9. 是，請填寫 W-9 表格。

Client Signature 客戶簽署 \_\_\_\_\_ Date 日期 \_\_\_\_\_

Client Name 客戶姓名 \_\_\_\_\_ Client Account No. 客戶賬戶號碼 \_\_\_\_\_

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Input By \_\_\_\_\_ Checked by \_\_\_\_\_ Approved by \_\_\_\_\_ (Name \_\_\_\_\_) Date 日期 \_\_\_\_\_